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Image

1714

PTO/SB/21(08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/935,619

Filing Date

August 23, 2001

First Named Inventor

Sabine Beuermann

Group Art Unit

1714

Examiner Name

Edward J. Cain

Total Number of Pages in This Submission

6

Attorney Docket Number

FA0890 US.NA

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s) (please  
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Form PTO 1449 w/ references  
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Hilmar L. Fricke, Registration No. 22,384

Signature

*Hilmar L. Fricke*

Date

January 12, 2004

## CERTIFICATE OF MAILING

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Jeannette Y. Rayfield

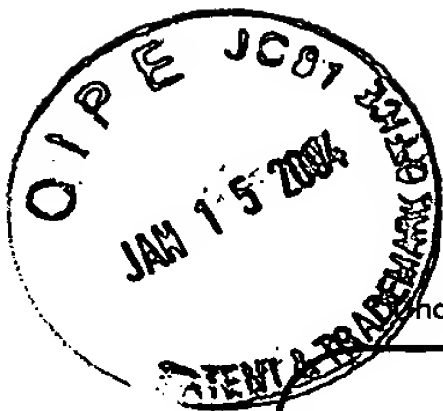
Signature

*Jeannette Rayfield*

Date

January 12, 2004

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<b>FEE TRANSMITTAL</b> <b>for FY 2003</b> <i>Patent fees are subject to annual revision.</i>		<b>Complete if Known</b>	
		Application Number	09/935,619
		Filing Date	August 23, 2001
		First Named Inventor	Sabine Beuermann
		Examiner Name	Edward J. Cain
		Group / Art Unit	1714
<input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27		Attorney Docket No.	FA 0890 US NA
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) <b>180.00</b>			

<b>METHOD OF PAYMENT (check all that apply)</b>		<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																																																																					
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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Hilmar L. Fricke	Registration No. Attorney/Agent	22,384
Signature		Telephone	(302) 984-6058
		Date	January 12, 2004

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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

SABINE BEUERMANN

Art Unit: 1714

Application No.: 09/935,619

Examiner: Edward J. Cain

Filed: August 23, 2001

Attorney Docket No.: FA0890 US NA

For: A PROCESS FOR THE PREPARATION OF POWDER COATING  
COMPOSITIONS

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following references were cited in the Partial European Search Report:

US 5,506,317, US 5,530,077, US 5,552,502, US 5,663,237, US 5,824,726, US  
6,057,409, DE 17 45 386 (US equivalent attached), DE 3609829 (English abstract  
attached), EP 0 136 813, EP 0 239 035 (English abstract attached), EP 0 301 532, EP  
0 509 842 A2, EP 0 669 858, EP 0 806 438, EP 0 964 009, JP 8104830, JP  
2001151802, WO 0005273, WO 0157095 A1, WO 9320116, WO 9534606, WO  
9628477, WO 9828351, and WO 9834967.

Listed on accompanying Form PTO-1449 are the above-references and the  
Partial European Search Report which references and search report may be considered

Application No.: 09/935,619  
Attorney Docket No.: FA0890 US NA

material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.


Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 04-1928.

Respectfully submitted,

By:   
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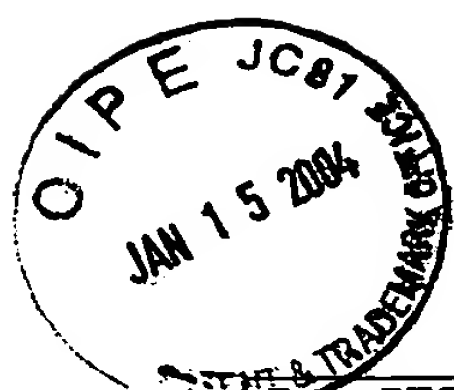
Serial Number: 09/935,619

Attorney Docket: FA 0890 US NA

Information Disclosure Statement

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U.S. Department of Commerce  
Patent and Trademark OfficeAttorney Docket No.  
**FA0890 US NA**Application No.  
**09/935,619****INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT***(Use several sheets if necessary)*Filing Date: **August 23, 2001**First Named Inventor:  
**Sabine Beuermann**Examiner Name:  
**Edward J. Cain**Art Group Unit:  
**1714****U.S. PATENT DOCUMENTS**

Examiner Initial	Cite No.	Office	Document Number	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication	Pages, Cols., Lines where, Relevant Passages Appear
		US	5,506,317		DeSimone et al.	04-09-96	
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Examiner Initial	Cite No.	Office	Document Number	Kind Code	Name of Patentee or Applicant of Cited Document	Date of Publication	Pages, Cols., Lines where, Relevant Passages Appear	T
	✓	DE	17 45 386		Sumitomo Chemical Co.	03-09-72	US equivalent attached	
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	✓	EP	0 136 813		Exxon Research and Engineering Company	10-04-85		
	✓	EP	0 239 035	A2	BASF AG	09-30-87	English abstract attached	
	✓	EP	0 301 532	A2	The B.F. Goodrich Co.	02-01-89		
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**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	Partial European Search Report	
EXAMINER	DATE CONSIDERED	